

PATENT COOPERATION TREATY

From the
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:
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RECEIVED PCT

APR 28 2003

WRITTEN OPINION

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP (PCT Rule 66)
LOS ANGELES

05306.

P014

Siebel

JPW

Date of Mailing
(day/month/year)

24 APR 2003

Applicant's or agent's file reference

5306.P014PCT

REPLY DUE

within 2 months/days from
the above date of mailing

International application No.

International filing date (day/month/year)

Priority date (day/month/year)

PCT/US02/19401

17 June 2002 (17.06.2002)

18 June 2001 (18.06.2001)

International Patent Classification (IPC) or both national classification and IPC

IPC(7): G06F 17/30 and US Cl.: 707/3

Applicant

SIEBEL SYSTEMS, INC

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.
2. This opinion contains indications relating to the following items:
 - I ☒ Basis of the opinion
 - II ☐ Priority
 - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
 - IV ☐ Lack of unity of invention
 - V ☒ Reasoned statement under Rule 66.2 (a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
 - VI ☐ Certain documents cited
 - VII ☐ Certain defects in the international application
 - VIII ☐ Certain observations on the international application
3. The applicant is hereby **invited to reply** to this opinion.

When? See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension. See rule 66.2(d).~~

How? By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

Also For an additional opportunity to submit amendments, see Rule 66.4.
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 *bis*.
For an informal communication with the examiner, see Rule 66.6

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.
4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 18 October 2003 (18.10.2003)

Name and mailing address of the IPEA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703)305-3230

Authorized officer

John Breene

Telephone No. (703) 305-3900

Date 6/24/2003 Client: Siebel Systems, Inc.

Docket Initials 5306.p014PCT

Dock. Sup. Initials *JPW*

PCT

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Atty Initials

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42

Description: Reply due written opinion of the PCT.

Adele Hysen

4/28/2003

WRITTEN OPINION

International application No.

PCT/US02/19401

I. Basis of the opinion

1. With regard to the elements of the international application:*

- ☒ the international application as originally filed
- ☒ the description:
 pages 1-85, as originally filed
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____
- ☒ the claims:
 pages 86-94, as originally filed
 pages NONE, as amended (together with any statement) under Article 19
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____
- ☒ the drawings:
 pages 1-44, as originally filed
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____
- ☐ the sequence listing part of the description:
 pages NONE, as originally filed
 pages NONE, filed with the demand
 pages NONE, filed with the letter of _____

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.
 These elements were available or furnished to this Authority in the following language _____ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the written opinion was drawn on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

- ☒ the description, pages NONE
- ☒ the claims, Nos. NONE
- ☒ the drawings, sheets/fig 1-44

5. ☐ This opinion has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed."

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WRITTEN OPINION

International application No.
PCT/US02/19401

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. STATEMENT

Novelty (N)	Claims <u>1-29</u>	YES
	Claims <u>NONE</u>	NO
Inventive Step (IS)	Claims <u>NONE</u>	YES
	Claims <u>1-29</u>	NO
Industrial Applicability (IA)	Claims <u>1-29</u>	YES
	Claims <u>NONE</u>	NO

2. CITATIONS AND EXPLANATIONS

Claims 1, 10, 18, 21 lacks inventive step under PCT Article 33(3) as being obvious over Ferrel et al (US patent 5,907,837). Ferrel teaches as an example, users of Multimedia Publishing System (MPS) titles are able to search within title content for items that match a text expression (see at Fig. 22, col. 39-43). Thus, it would have been obvious to one ordinarily skilled in the art at the time of invention to provide a search center pan with search category from a drop down list of search categories.

Claims 2-9, 11-17, 19-20, 22-29 lacks inventive step under PCT Article 33(3) as being obvious over Ferrel et al (US patent 5,907,837) and in view of Beck et al (6,167,395). Ferrel does not teach association criteria. However, Beck teaches a search function that searches accessed data for association criteria (col. 4, line 59 to col. 5, line 29). Thus, it would have been obvious to one ordinarily skilled in the art at the time of invention to provide a search function for associated criteria. It is essential to search internet databases for associated criteria data rather than searching simply using keywords.

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International application No.
PCT/US02/19401

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

TIME LIMIT:

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.

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